

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

 X 37 C.F.R. §1.97(b).

 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

 A statement pursuant to 37 C.F.R. §1.97(e) or

 A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

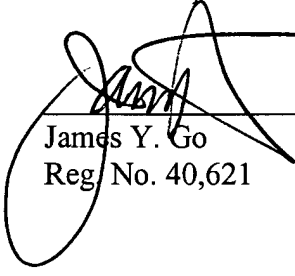
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 7-20-04


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Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/808,787
				Filing Date	March 23, 2004
				First Named Inventor:	MORSE
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	42P13843D

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)			
	1.	US-	4,371,966	02-01-1983	Scifres et al.	
	2.	US-	4,911,516	03-27-1990	Palfrey et al.	
	3.	US-	5,078,516	01-07-1992	Kapon et al.	
	4.	US-	5,121,182	06-09-1992	Kuroda et al.	
	5.	US-	5,574,742	11-12-1996	Ben-Michael et al.	
	6.	US-	5,720,893	02-24-1998	Ben-Michael et al.	
	7.	US-	5,987,046	11-16-1999	Kobayashi et al.	
	8.	US-	5,990,530	11-23-1999	Suzuki	
	9.	US-	6,200,502 B1	03-13-2001	Paatzsch et al.	
	10.	US-	6,380,092 B1	04-30-2002	Annapragada et al.	
	11.	US-	6,381,380 B1	04-30-2002	Forrest et al.	
	12.	US-	6,411,764 B1	06-25-2002	Lee	
	13.	US-	6,483,863 B2	11-19-2002	Forrest et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				
	14.	PCT/US 03/12364		08/08/2003	International Search Report		
	15.	PCT/US 03/11833		10/02/2003	International Search Report		
	16.	JP 52137359		11/16/1977	SUMITOMO ELECTRIC IND. LTD		
	17.	JP 53106152		09/14/1978	NEC CORPORATION		
	18.	JP 05011131		01/19/1993	SHIMADZU CORPORATION		
	19.	JP 09297235		11-18-1997	HITACHI CABLE LTD		
	20.	JP 11284061		10-15-1999	KAWASAKI STEEL CORP.		
	21.	EP 0 821 250 A1		01/28/1998	SCHNEIDER ELECTRIC SA		
	22.	WO 95/13553		05/18/1995	SCHNEIDER ELECTRIC SA		
	23.	WO 00/36442		06-22-2000	BRAIMAN		

Examiner Signature	Date Considered	
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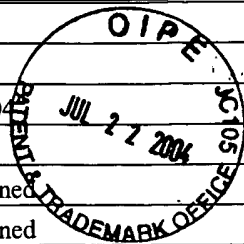
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

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Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
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				Filing Date	March 23, 2004
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				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	42P13843D
Sheet	2	of	2		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	24.	LAYADI, A., et al., "Low-Loss Optical Waveguide On Standard SOI/SIMOX Substrate," Elsevier Science B.V., Optics Communications, Vol. 146, No. 1-6, January 15, 1998, pp. 31-33, North-Holland Publishing Co., Amsterdam, NL.	
	25.	WEISS, B.L., et al., "Optical Waveguides in SIMOX Structures," IEEE Photonics Technology Letters, Vol. 3, No. 1, January 1991, pp. 19-21, NY, US.	
	26.	RICKMAN, A., et al., "Low-Loss Planar Optical Waveguides Fabricated in SIMOX Material," IEEE Photonics Technology Letters, Vol. 4, No.6, June 1, 1992, pp. 633-635, NY, US.	
	27.	VON BIBRA, M.L., et al., "Ion Beam Energy Attenuation For Fabrication Of Buried Variable-Depth, Optical Waveguides," Elsevier Science B.V., Nuclear Instruments & Methods In Physics Research, Section B: Beam Interactions with Materials and Atoms, Vol. 168, No. 1, May 2000, pp. 47-52, North-Holland Publishing Co., Amsterdam, NL.	
	28.	BRENNER, T., et al., "Vertically Tapered InGaAsP/InP Waveguides for Highly Efficient Coupling to Flat-End Single-Mode Fibers," American Institute of Physics, Vol. 65, August 15, 1994, pp. 798-800, Woodbury, NY, US.	



Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.